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- . . .

Date: March 27, 2002

Signature of Person Mailing Correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant(s):

Odegaard, et al.

Docket No.:

40655.2600

Serial No.:

09/974,613

Group Art Unit:

2161

Filed:

October 10, 2001

Examiner:

TBA

Title:

SYSTEM AND METHOD

Confirmation No.:

8447

1110.

FOR A FINANCIAL

PLANNING COMPETITION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98 DECEIVED

Assistant Commissioner of Patents Washington, D.C. 20231

APR 1 0 2002

Dear Assistant Commissioner:

Technology Center 2100

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the supplemental documents listed on the attached Form PTO/SB/08A. One copy of each cited document is submitted herewith. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO/SB/08A and return a copy thereof to the undersigned.

The submission of the listed documents is not intended as an admission that any such documents constitute prior art against the claims of the present application.

Applicant reserves the right to dispute the listed documents as prior art during examination. Further, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove the listed documents as a competent

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Serial No.: 09/974,613 Docket No.: 40655.2600

reference against the claims of the present application. Furthermore, the submission of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

As this Supplemental Information Disclosure Statement is being filed in compliance with 37 C.F.R. §1.97(e)(1): I hereby certify that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement, and also pursuant to 37 C.F.R. §1.97(b)(3), prior to the issuance of the first Office Action on the merits. No filing fee is required, however, the Assistant Commissioner is hereby authorized to charge Deposit Account No. 19-2814 for any deficiency in the fees should they be required for the filing of this Supplemental Information Disclosure Statement, for which purpose a duplicate copy of this sheet is enclosed.

Respectfully submitted,

Snell & Wilmer

Dated: March 27, 2002

Howard I. Sobelman Registration No. 39,038

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PTO/SB/08A (10-01)
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known					
Application Number	09/974,613				
Filing Date	October 10, 2001	$C_{O_{O_{O}}}$			
First Named Inventor	Laura J. Odegaard	OPIG OF A			
Art Unit	2161	"VALLAPER			
Examiner Name	TBA	FIE			
Attorney Docket Number	40655.2600				

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials	Cite No.1	Number Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,126,936	06-30-1992	Champion et al	
		US- 5,884,285	03-16-1999	Atkins	
		US- 6,064,985	05-16-2000	Anderson	
		US-			RECEIVED
		US-			HECLIVED
		US-			APR 1 0 2002
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Examiner Initials	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
		Office ³	Number ⁴	Kind⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Descense or Polovant	Τ ⁶
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/	Examiner		Date	05/00/0000	
(Signature	/Clement Graham/	Considered	05/26/2008	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

EFS-Web Receipt date: 04/08/2002

Serial No.: 09/974,613 Docket No.: 40655.2600

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As this Supplemental Information Disclosure Statement is being filed in compliance with 37 C.F.R. §1.97(e)(1): I hereby certify that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement, and also pursuant to 37 C.F.R. §1.97(b)(3), prior to the issuance of the first Office Action on the merits. No filing fee is required, however, the Assistant Commissioner is hereby authorized to charge Deposit Account No. 19-2814 for any deficiency in the fees should they be required for the filing of this Supplemental Information Disclosure Statement.

s enclosed

Dated: March 27, 2002

Snell & Wilmer L.L.P. One Arizona Center 400 E. Van Buren Phoenix, Arizona 85004-2202 (602) 382-6228 (602) 382-6070 Telecopier Respectfully submitted,

Snell & Wilmer

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